

PATENT APPLICATION  
Docket No. 6647-013

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s): Stephen R. CARTER; Delos C. JENSEN; Ronald P. MILLETT

Serial No.: 09/615,726

Examiner: Lamont M. SPOONER

Filed: July 13, 2000

Group Art Unit: 2654

Title: **A METHOD AND MECHANISM FOR THE CREATION, MAINTENANCE, AND COMPARISON OF SEMANTIC ABSTRACTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Enclosed for filing in the above-referenced application are the following:

- ☒ Transmittal Letter (in duplicate)
- ☐ Copy of Notice to File Missing Parts
- ☐ Filing Fee
- ☐ Change of Address
- ☐ Applicant claims small entity status (see 37 CFR 1.27)
- ☐ [Publication and] Issue Fee
- ☐ Formal Drawings sheet(s)
- ☐ Assignment and Cover Letter
- ☒ (Supplemental) Information Disclosure Statement
- ☒ PTO Form 1449 ☒ Publication references cited
- ☐ Combined Declaration and Power of Attorney
- ☐ Declaration
- ☐ Power of Attorney
- ☐ Surcharge for Late Filing of Fee or Oath or Declaration
- ☐ \$65 - Small Entity ☐ \$130 - Large Entity
- ☐ In connection with issuance of a patent:
- ☐ Supplemental Declaration ☐ PTO Form 85B
- ☒ PTO Form 2038 authorizing credit card payment for the above-listed fees
- ☐ A check in the amount of \$ to cover the above-listed fees.
- ☒ Any deficiency or overpayment should be charged or credited to deposit account number 13-1703.

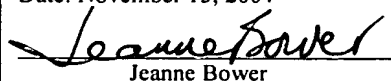
Respectfully submitted,  
MARGER JOHNSON & McCOLLOM, P.C.



Ariel S. Rogson  
Reg. No. 43,054

MARGER JOHNSON & McCOLLOM, P.C.  
1030 SW Morrison Street  
Portland, OR 97205  
503-222-3613  
Customer No. 45842

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313  
Date: November 15, 2004

  
Jeanne Bower



PATENT APPLICATION  
Docket No. 6647-013  
IDR-432

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Stephen R. CARTER; Delos C. JENSEN; Ronald P. MILLETT

Serial No.: 09/615,726

Examiner: Lamont M. SPOONER

Filed: 7/13/2000

Group Art Unit: 2654

Title: **A METHOD AND MECHANISM FOR THE CREATION, MAINTENANCE,  
AND COMPARISON OF SEMANTIC ABSTRACTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.97 (b); or  
(Within three months of filing national application; or date of entry of International application; or before mailing date of first office action on the merits; whichever occurs last)

☒ under 37 CFR 1.97 (c) together with either a:  
☐ Statement under 37 CFR 1.97 (e), or  
☒ a \$180 fee under 37 CFR 1.17 (p); or  
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)

☐ under 37 CFR 1.97 (d) together with:  
☐ Statement under 37 CFR 1.97 (e), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).  
(Filed after final action or notice of allowance, whichever occurs first, before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449 Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

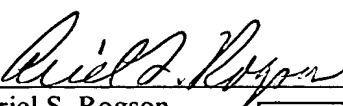
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Respectfully submitted,  
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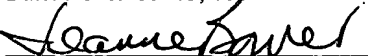
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Ariel S. Rogson  
Reg. No. 43,054

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**INFORMATION DISCLOSURE STATEMENT**

PTO-1449

**U.S. PATENT DOCUMENTS**

<b><u>Exam Init</u></b>	<b><u>Ref</u></b>	<b><u>Document Number</u></b>	<b><u>Issue Date</u></b>	<b><u>Name</u></b>	<b><u>Class</u></b>	<b><u>Sub Class</u></b>
		5,276,677	1/04/94	Ramamurthy et al.	370	60
		5,317,507	5/31/94	Gallant	364	419.13
		5,325,298	6/28/94	Gallant	364	419.19
		5,539,841	7/23/96	Huttenlocher et al.	382	218
		5,551,049	8/27/96	Kaplan et al.	707	532
		5,619,709	4/08/97	Caid et al.	395	794
		5,675,819	10/07/97	Schuetze	704	10
		5,694,523	12/02/97	Wical	395	12
		5,696,962	12/09/97	Kupiec	395	604
		5,708,825	1/13/98	Sotomayor	395	762
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		5,867,799	2/02/99	Lang et al.	707	1
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		6,205,456	3/20/01	Nakao	707	531
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		6,675,159	1/06/04	Lin et al.	707	3

**U.S. PATENT APPLICATIONS**  
**FOREIGN PATENT DOCUMENTS**

<b><u>Exam Init</u></b>	<b><u>Ref</u></b>	<b><u>Document Number</u></b>	<b><u>Publication Date</u></b>	<b><u>Country</u></b>	<b><u>Name</u></b>

**OTHER DOCUMENTS**

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		Bartell, et al., " <u>Latent Semantic Indexing is an Optimal Special Case of Multidimensional Scaling</u> ," <i>Dept. of Computer Science &amp; Engineering, University of California, San Diego</i> , 1992, 7 pages.
		Chomsky, " <u>Language and Thought</u> ," 1993, pgs. 18-19, 22-27, 44-45, 60-61.
		Chomsky, " <u>The Minimalist Program</u> ," 1995, pgs. 13-65.
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		Culler, " <u>Ferdinand de Saussure – Revised Edition</u> ," 1986 pgs. 38-57
		Eco et al., " <u>Meaning and Mental Representations</u> ," 1988, pg. 221.
		Eco, " <u>Semiotics and the Philosophy of Language</u> ," 1984, pg. 46-86.
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		Mostafa et al., " <u>A Multilevel Approach to Intelligent Information Filtering: Model, System, and Evaluation</u> ," <i>ACM Transactions on Information Systems</i> , Vol. 15, No. 4, 10/97, pgs. 368-399.
		Pesetsky, " <u>Zero Syntax: experiencers and cascades</u> ," 1995, pgs. 1-122.
		Pejtersen, " <u>Semantic Information Retrieval</u> ," <i>Communications of the ACM</i> , Vol. 41, No. 5, 4/98, pgs. 90-92.
		" <u>Platform for Internet Content Selection (PICS)</u> ," <a href="http://www.w3.org">http://www.w3.org</a> , pgs. 1-8.
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		Smith, " <u>Computers and human language</u> ," 1991, pgs. 205-257.
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Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_